



NEURO
INSTITUTE

Continuing Education for Rehabilitation Professionals



Evidence-based practices for co-occurring brain injury and substance use disorders

Carolyn Lemsy, Ph.D., C.Psych. ABPP-Cn

NeuroRestorative's COVID-19 Response

- We are committed to protecting the health and safety of the individuals we serve, our staff, and the community. Our services are considered essential, and we are taking precautions to minimize disruption to services and keep those in our care and our team members safe. In some programs, that has meant innovating our service delivery model through Interactive Telehealth Services. We provide Interactive Telehealth Services throughout the country as an alternative to in-person services. Through Interactive Telehealth Services, we deliver the same high-quality supports as we would in-person, but in an interactive, virtual format that is HIPAA compliant and recognized by most healthcare plans and carriers.
- You can learn more about our COVID-19 prevention and response plan at our Update Center by visiting neurorestorative.com.

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Clinical Teams

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 - Center for Addictions and Mental Health, Toronto Canada
- Author : TBI and Substance Use Tool Kit
Co-Author: Substance Use and Brain Injury Workbook.

Funders:

- Ontario Neurotrauma Foundation
- SAMHSA, and ATTCs
- National Association of State Head Injury Administrators.

Review



John Corrigan, Ph.D.

Director, Ohio Valley Center for
Brain Injury Prevention and
Rehabilitation

What is a Brain Injury?

Acquired Brain Injury (ABI): Stroke, tumor or disease.

Traumatic Injury (TBI) is an ABI caused by external force.

Range in severity

Effects add-up

At risk groups: e.g. military, abuse survivors and substance users people with a previous TBI.

What are the Effects of Brain Injury?

TBI raises the risk for:

- Disability
- Depression
- Poor Mental Health
- Chronic Disease
- Heavy Substance Use
- Smoking Cigarettes
- Suicide

All ABIs may result in changes in cognition in behavior, affecting their ability to participate in the care being offered.

Why is brain injury related to behavior problems?

Fingerprint of TBI

- No matter how the head is hit, the frontal lobes and underlying structures are vulnerable.
- Acquired injuries such as anoxia also affect the frontal lobe and its connections to the emotional system.
- Good emotional and behavioral regulation are critical for success in society

How can you screen for TBI and other Injuries?

ABI is common in users of substance use and mental health and housing programs, and corrections.

Carefully eliciting self-report can identify people who may be living with the effects of ABI.

Simple accommodation for ABI is possible and effective in improving outcomes.

A history of TBI with LOC is common in mental health and addictions settings.

A history of TBI with LOC is common among the clients you serve

Non-institutionalized adults
1 in 5



People seeking treatment
for SUD
2 - 3 in 5



Psychiatric Inpatients
2 - 3 in 5



Co-occurring Disorders
3-4 in 5



Moderate to Severe TBI

Non-institutionalized adults
3-6%



People seeking treatment
for SUD 15-20%



Psychiatric Inpatients
20 %



Homeless
12 to 15 %



Clinical Observations



Gap between “say and do”



Difficulty Engaging

Finding services

Making and keeping appointments

Keeping up with program requirements

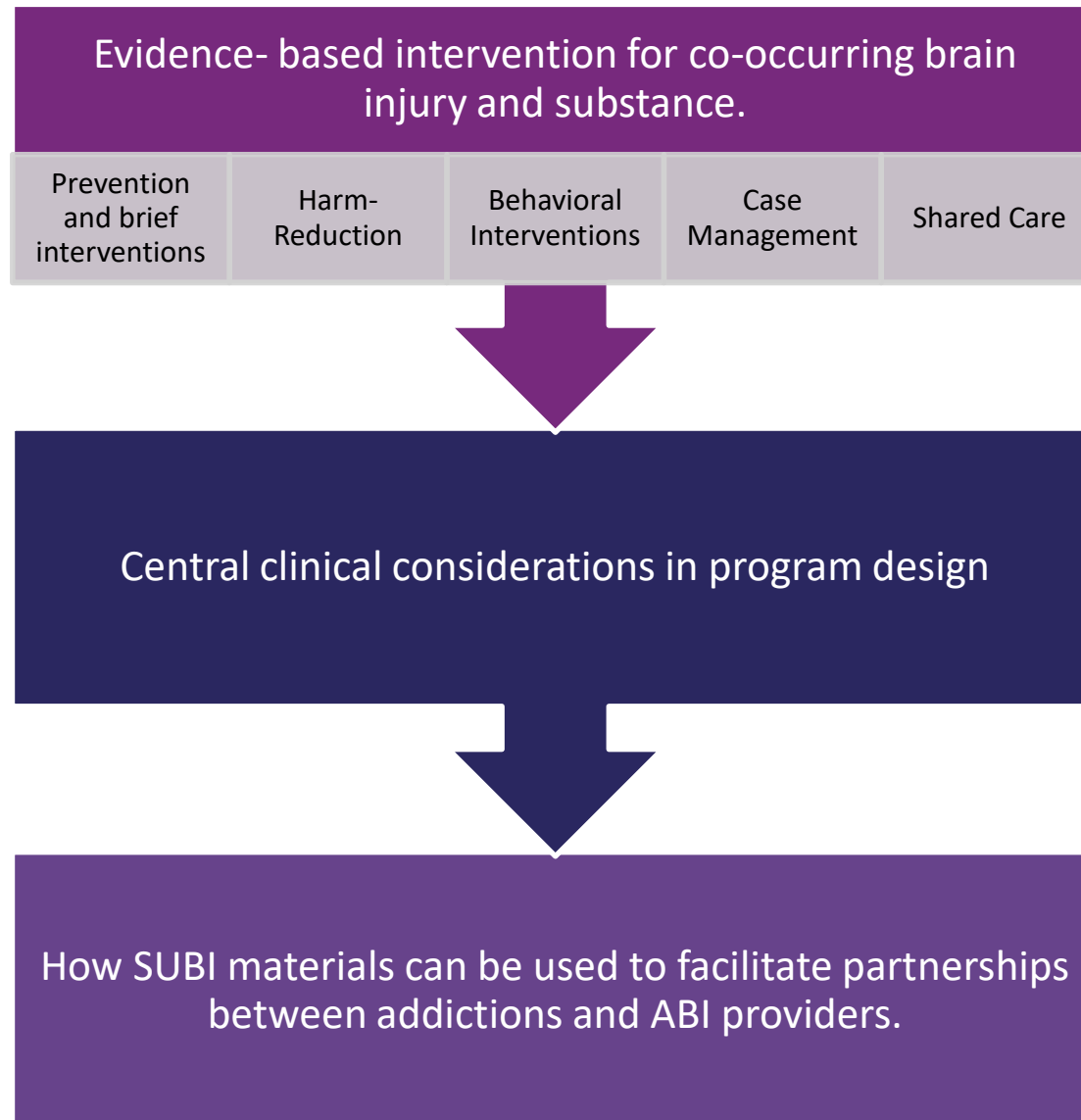


Dropping out



More likely to be seen as non-compliant or unmotivated

Learning Objectives



A few myths to address



You need to have very specialized training to help someone with a substance use disorder.

Treatments with the strongest evidence base resemble interventions you are already familiar with.



Treatment only starts when a person has a commitment to change.

Harm reduction interventions are useful on their own and may be the path to intervention/support

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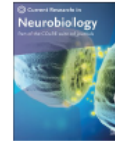
People with complex problems don't change

Longer periods of intervention may be required. Supports may need to fade in over time

American Society of Addiction Medicine

Addiction is a treatable, chronic medical disease involving complex interactions among brain circuits, genetics, the environment, and an individual's life experiences. People with addiction use substances or engage in behaviors that become compulsive and often continue despite harmful consequences. Prevention efforts and treatment approaches for addiction are generally as successful as those for other chronic diseases.

Adopted by the ASAM Board of Directors September 15, 2019



The molecular neurobiology and neuropathology of opioid use disorder

Christopher A. Blackwood^{*,*}, Jean Lud Cadet^{*}

Molecular Neuropsychiatry Research Branch, NIH/NIDA Intramural Research Program, 251 Bayview Boulevard, Baltimore, MD, 21224, USA

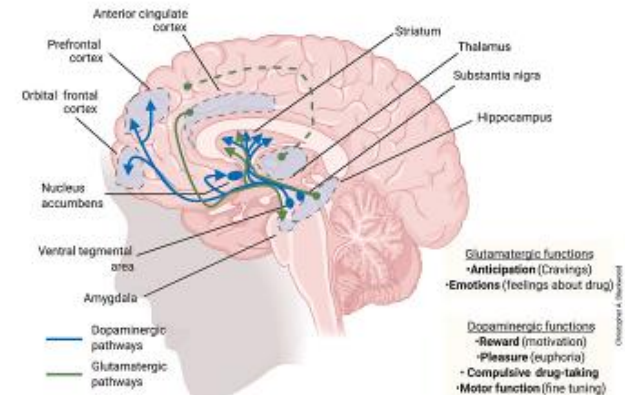
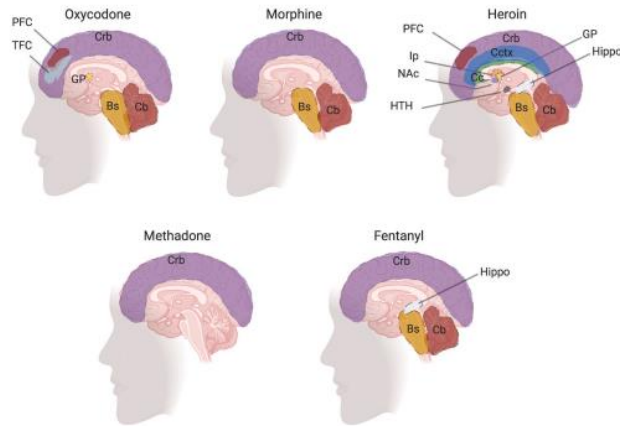
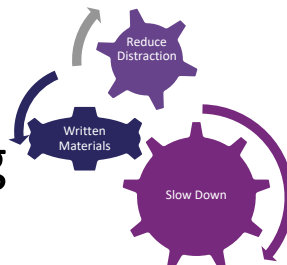


Fig. 2. Brain deficits in human opioid use disorders. Cartoon illustration of human brain showing areas affected by acute or chronic intoxication of heroin, morphine, oxycodone, methadone and fentanyl. Abbreviations: Bs, Brain stem; Cb, Cerebellum; Crb, Cerebrum; Ctx, Cingulate cortex; Cc, Corpus Callosum; GP, Globus Pallidus; Hippo, Hippocampus; HTH, Hypothalamus; Ip, Insula and putamen; NAC, Nucleus Accumbens; PFC, Prefrontal Cortex.

Neurocognitive

Require adaptations to the mechanics of Intervention.

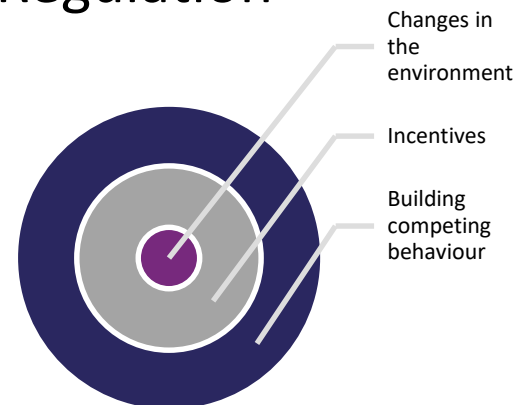
- Attention
- Memory
- Information Processing
- Executive Functioning



Neuro-behavioural

Require adaptations to the focus of intervention

- Problems with Self-Regulation



Comprehensive, Continuous, Integrated System of Care (CCISC) Model

Co-occurring issues and conditions are an expectation, not an exception.

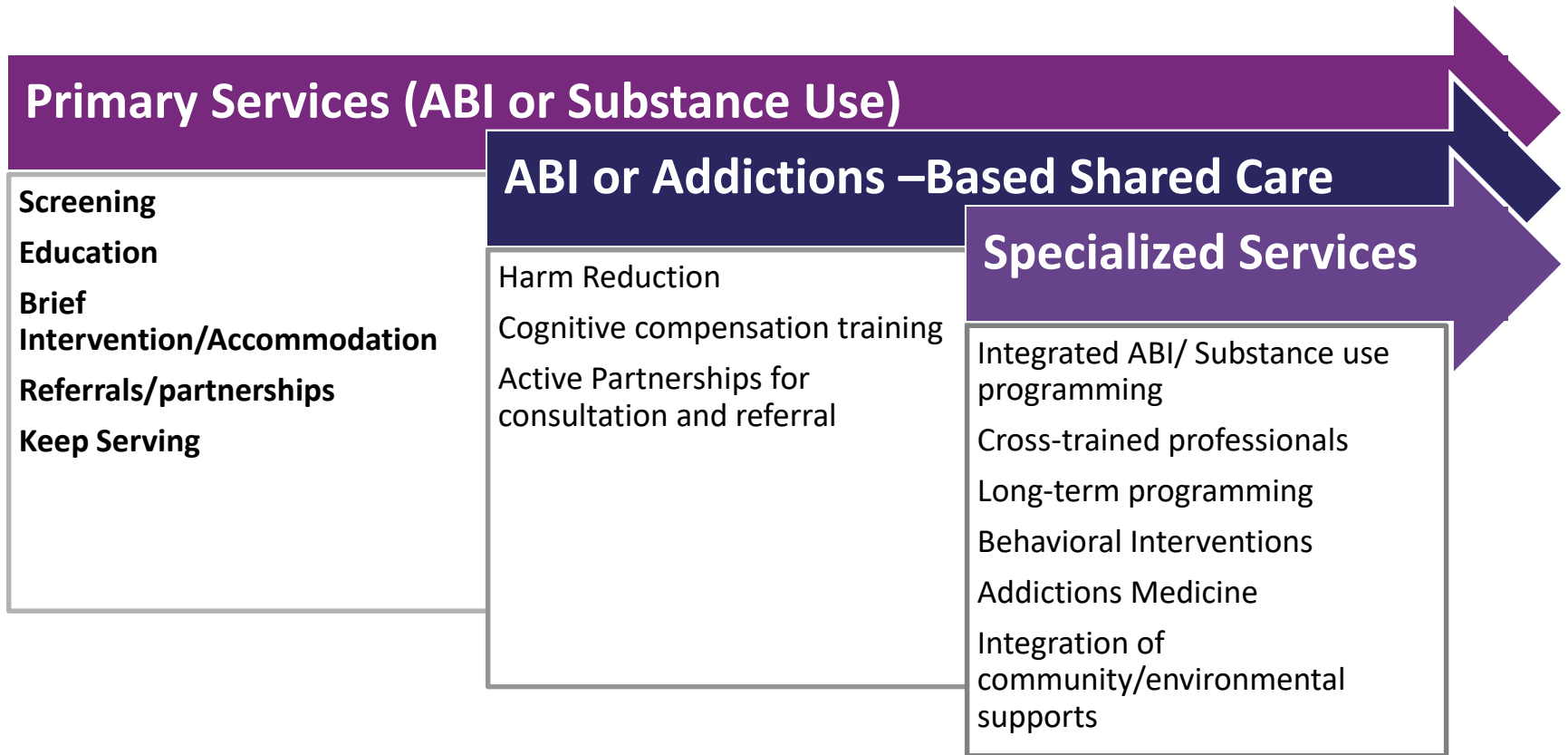
Care is client-centered and individualized.

Treatment should be co-occurring.

The best practice intervention is integrated dual or multiple primary treatment, in which each condition or issue receives appropriately matched intervention at the same time.

Minkoff K & Cline C, Developing welcoming systems for individuals with co-occurring disorders: the role of the Comprehensive Continuous Integrated System of Care model. J Dual Diagnosis 2005, 1:63-89

Integrating intervention



Recommendations



Routine Screening for brain injury and other sources of neurocognitive impairment and Substance use disorders



Learning to recognize when behaviors are the result of neurological challenges and/or Substance Use



Making programs more accommodating, Learn about evidence based practices



Viewing complexity as a rule and develop programming accordingly



Care plans that include long-term supports and harm reduction

ABI-tested interventions

- Brief Interventions (Bogner et al., 2021)
- Treatment incentives (Corrigan et al., 2005)
- Intensive case management (Bogner et al., 1997)
- Skills training (Vungkhanching et al., 2007)
- Motivational Interviewing (Cox et al., 2003)



Empirically Supported Interventions (General Population)

- Brief Treatment/FRAMES
- Community Reinforcement and Family Training CRA/CRAFT
- Contingency Management
- Motivational Interviewing
- Case Management
- Harm reduction
- Peer-assisted (12 Step -AA/NA; Smart Recovery)
- Pharmacotherapy
- Cognitive Behavioural Strategies (Relapse Prevention)

| Outcome studies suggest...

- Outcome related to treatment duration
- Characteristics associated with dropping out
 - Cognitive impairment
 - Psychiatric disorders
 - Unemployment
- Retention in treatment is associated with Therapeutic Alliance

<https://www.samhsa.gov/sbirt>

<https://www.ccsa.ca/resources-alcohol>

- **Feedback**
- **Responsibility**
- **Advise**
- **Menu for change,**
- **Empathy, and enhancing**
- **Self-efficacy.**
- **Screening**
- **Brief Motivational Interview**
- **Recommendations for more information or follow-up.**



Screening, Brief Intervention, and Referral to Treatment (SBIRT)

SBIRT is a comprehensive, integrated, public health approach to the delivery of early intervention and treatment services for persons with substance use disorders, as well as those who are at risk of developing these disorders.

Primary care centers, hospital emergency rooms, trauma centers, and other community settings provide opportunities for early intervention with at-risk substance users before more severe consequences occur.



Screening and Treatment Resources

Healthcare and allied health professionals — including family physicians, nurses, addiction specialists, psychologists and social workers — use alcohol screening, brief intervention and referral to treatment (SBIRT) to help individuals manage their alcohol consumption. The resources on this page link to evidence-informed guidance and tools.

For additional information about alcohol screening, brief intervention and treatment, or to share additional resources, contact alcohol@ccsa.ca.

Partner organizations have supplied some of the resources on this page. CCSA cannot vouch for the accuracy or currency of the information in these resources. Inclusion of a resource on this page does not imply endorsement or authorization by CCSA.



Why it is bad for your brain health to use alcohol or other drugs after a brain injury?

After an injury the brain reacts differently to alcohol and other drugs. A small amount of alcohol can have big consequences, such as:

1. Longer or worse recovery
2. More problems with coordination, walking and talking
3. Doing or saying things without thinking
4. Problems with thinking and learning
5. Being depressed or irritable
6. Bad decisions
7. Another brain injury
8. Seizures

<https://wexnermedical.osu.edu/-/media/files/wexnermedical/patient-care/healthcare-services/neurological-institute/neuroscience-research-institute/research-centers/ohio-valley/for-professionals/substance-abuse-and-tbi/materials-from-ovc/information-for-individuals-and-family-members.pdf>

Information for Individuals and Family Members

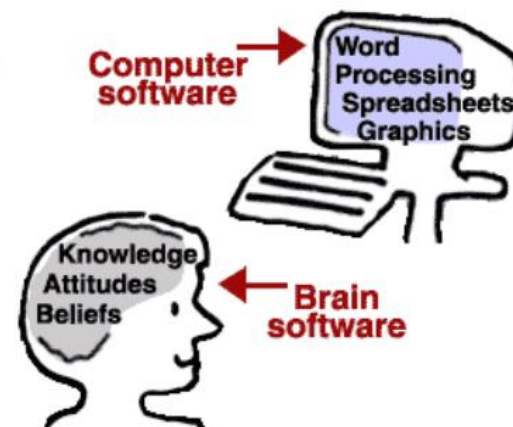
User's Manual for Faster...More Reliable Operation of a Brain After Injury

Your Brain is Like a Computer

The human brain is often compared to a computer, full of bits of information, able to make swift, steady connections. Just like a computer, the brain has many programs like the ones that allow us to move, think and make decisions.

To operate, a computer uses software, a set of instructions to tell it what to do. Our brains use instructions too. Those

instructions are like software—bits of information that include our knowledge, attitudes and beliefs.



Conclusions from Studies of SBI with persons with TBI

Multimedia education appears to be the primary source of effects on knowledge and beliefs, with possible indirect effect on alcohol use.

Methodological challenges

- Recruitment and attrition

- Short follow-up timeframe

Need to further modify brief interventions to increase effects

Sander, Bogner, Nick, A. N. Clark, Corrigan and Rozzell (2012)

Tweedly, Ponsford and Lee (2012)

Comparative Effectiveness of a Brief Intervention for Alcohol Misuse Following Traumatic Brain Injury: A Randomized Controlled Trial

Jennifer Bogner¹, John D. Corrigan¹, Juan Peng², Chelsea Kane¹, and Kathryn Coxé³

¹ Department of Physical Medicine and Rehabilitation, Division of Rehabilitation Psychology, College of Medicine, Ohio State University

² Center for Biostatistics, College of Medicine, Ohio State University

³ College of Social Work, Ohio State University

lower percentage of participants in the Adapted SBI condition resumed alcohol use by 12 months post discharge (32% vs. 62% in the SEA condition, $p, .05$). No significant differences were found on other outcomes (binging, facts recalled about the negative effects of alcohol, drug use).

Contingency Management

Based on Operant-conditioning literature

Tangible reinforcement based on verifiable evidence of desired behavior (e.g. abstaining via urine sample).

50 years of positive trials related to treatment engagement and treatment outcome.

Public perception and policy have been the big barriers to implementation.

A review of contingency management for the treatment of substance-use disorders: adaptation for underserved populations, use of experimental technologies, and personalized optimization strategies

This article was published in the following Dove Press journal:
Substance Abuse and Rehabilitation

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Abstract: This review of contingency management (CM; the behavior-modification method of providing reinforcement in exchange for objective evidence of a desired behavior) for the treatment of substance-use disorders (SUDs) begins by describing the origins of CM and how it has come to be most commonly used during the treatment of SUDs. Our core objective is to review, describe, and discuss three ongoing critical advancements in CM. We review key emerging areas wherein CM will likely have an impact. In total, we qualitatively reviewed 31 studies in a systematic fashion after searching PubMed and Google Scholar. We then describe and highlight CM investigations across three broad themes: adapting CM for underserved populations, CM with experimental technologies, and optimizing CM for personalized interventions. Technological innovations that allow for mobile delivery of reinforcers in exchange for objective evidence of a desired behavior will likely expand the possible applications of CM throughout the SUD-treatment domain and into therapeutically related areas (eg, serious mental illness). When this mobile technology is coupled with new, easy-to-utilize biomarkers, the adaptation for individual goal setting and delivery of CM-based SUD treatment in hard-to-reach places (eg, rural locations) can have a sustained impact on communities most affected by these disorders. In conclusion, there is still much to be done, not only technologically but also in convincing policy makers to adopt this well-established, cost-effective, and evidence-based method of behavior modification.

Keywords: contingency management, novel substance-use treatment technologies, drug- and alcohol-use biomarkers, substance-use disorder treatment

Introduction

Contingency management (CM) is an effective behavioral treatment approach commonly applied to substance-use disorders (SUDs). CM has a long history in basic and clinical research and a deep theoretical background for virtually all types of use disorders.¹ Interestingly, while CM was applied first to the field of alcohol-use disorders,^{2,5} only now, after a protracted dormancy in that field, is CM being applied in a manner consistent with what has become a largely standardized approach in the field of drug abuse (ie, delivery of reinforcers in exchange for biochemically verified abstinence) to increase abstinence significantly and consistently.^{6,7}

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Email: sterling.mcpherson@wsu.edu

Treatment incentives

In the general population, early attendance predicts treatment success

Corrigan, Bogner, Lamb-Hart, Heinemann & Moore (2005):

Brief Motivational Interview

Reduction of barriers to attendance

Financial incentives

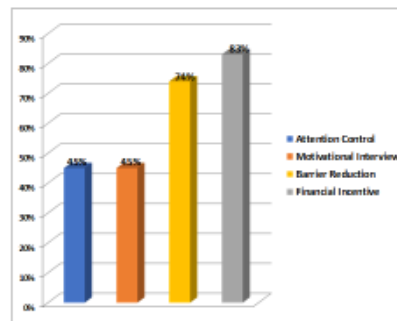
Attention control

Findings

N = 195 (138 men;
57 women)

Mean age = 36.6
(range = 18 to
72)

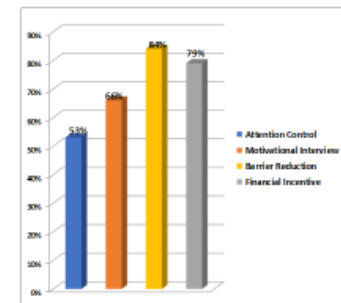
Mean time since
injury = 8.0 years
(range = 3 weeks
to 55 years)



% Complete individual
service plan in 30 days

Six-month follow-up data

- By six months, over 30% had terminated therapy
- 50% improvement over control for Barrier Reduction and Financial Incentives
- Brief phone intervention makes a big difference



Still in treatment or
successfully terminated

Why did these interventions work?

Attendance early in treatment increases engagement

Rule-governed learning is easier for many individuals surviving brain injury and enabled engagement

Support to attend sessions enabled engagement

CRAFT

Main outcome is entry into treatment

Works best with full model employed
(individual /group treatment)

Reduces harms and improves family
member's mental health and life satisfaction.

➤ [Addiction](#). 2020 Jun;115(6):1024-1037. doi: 10.1111/add.14901. Epub 2020 Jan 3.

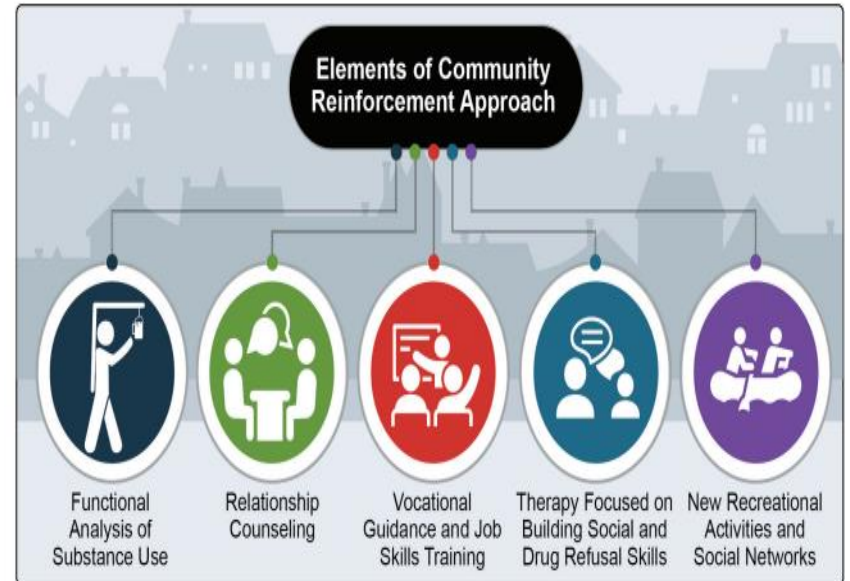
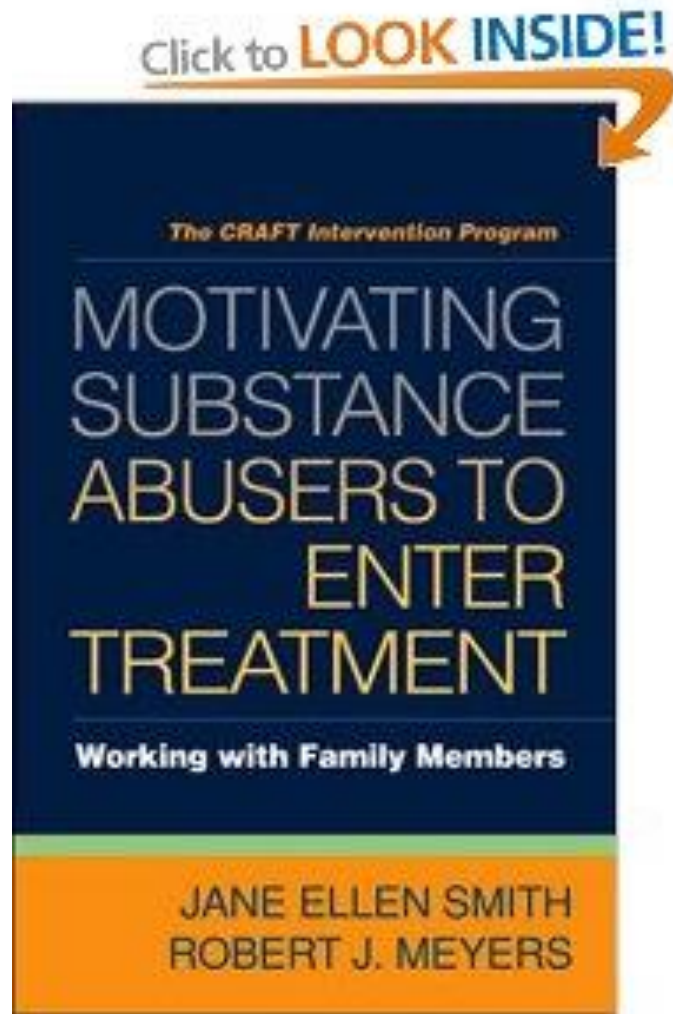
Community reinforcement and family training and rates of treatment entry: a systematic review

Marc Archer ¹, Hannah Harwood ¹, Sharon Stevelink ^{1 2}, Laura Rafferty ¹, Neil Greenberg ^{1 3}

Affiliations + expand

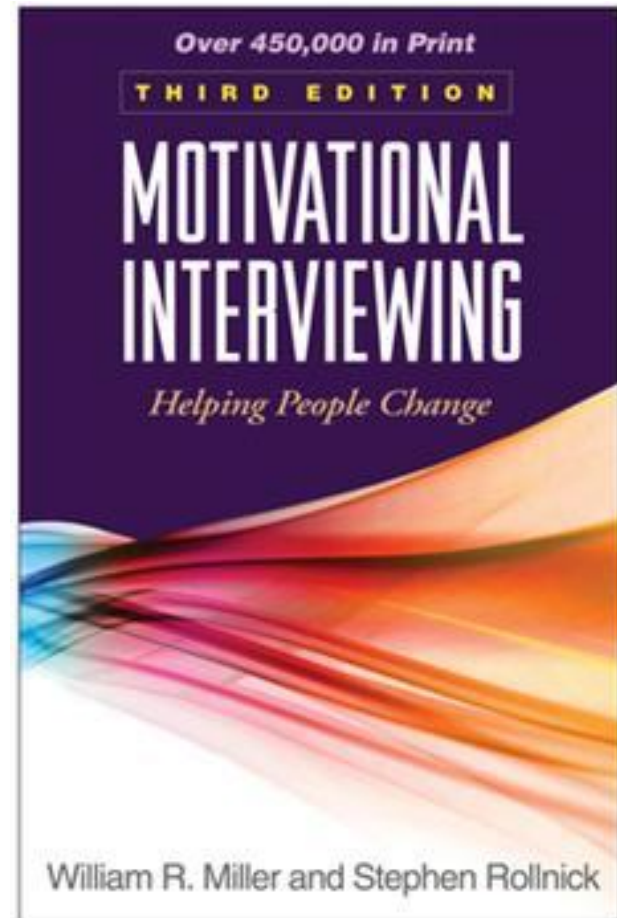
PMID: 31770469 DOI: [10.1111/add.14901](#)

Community Reinforcement and Family Training



Motivational Interviewing

- MI is a **guiding** style of communication, that sits between **following** (good listening) and **directing** (giving information and advice).
- MI is designed to **empower** people to change by drawing out their own meaning, importance and capacity for change.
- MI is based on a **respectful** and **curious** way of being with people that facilitates the natural process of change and honors client autonomy
- Thousands of positive studies
- Hundreds of meta-analyses
- Well-established training protocols.
- Proficiency requires supervised practice.



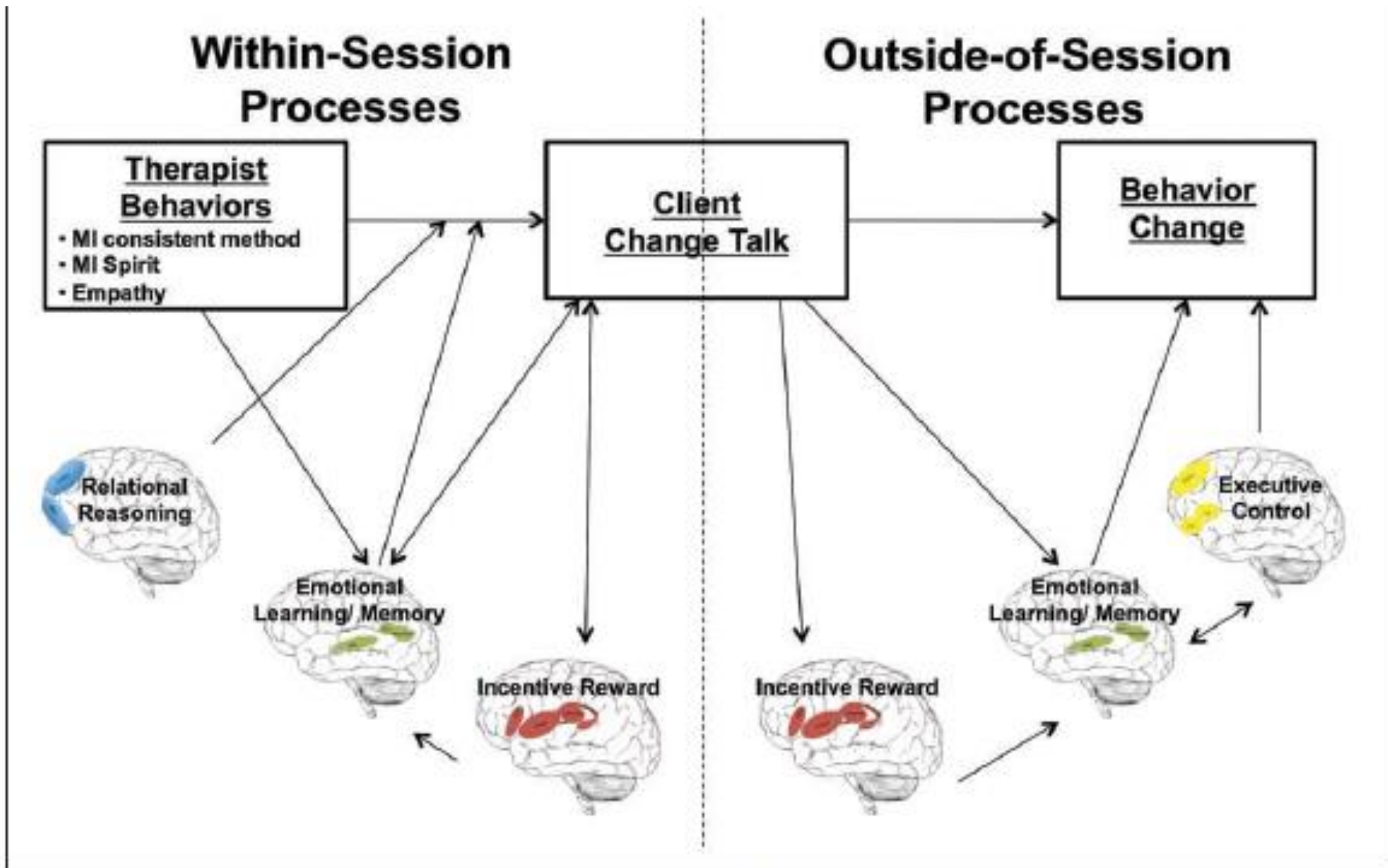


FIGURE 2. Neural circuitry associated with the proposed model; MI – motivational interviewing

Modifications for people with neurocognitive impairment

More Directive

- More time spent in agenda setting

- Specific strategies to address tangential speech and thought

Cognitive adaptations

- More frequent summaries

- Simple reflections

- Cautious use of metaphor

- Visual aids during sessions

- Repetition

- Supporting self-efficacy /agency

- Focus on accepting and using environmental supports

Pharmacological Treatment

Deterrent

Disulfiram

Naltrexone (nonselective opioid agonist)

Craving reduction

Acamprosate

Naltrexone

Opioid replacement

Methadone

Suboxone (Naloxone and buprenorphine)

SSRIs when there is a diagnosis of depressed mood

Anticonvulsants (may reduce days of harmful drinking)

Topiramate

Pregabalin

Harm Reduction: Helping a client move from more harm to less harm without insisting on abstinence

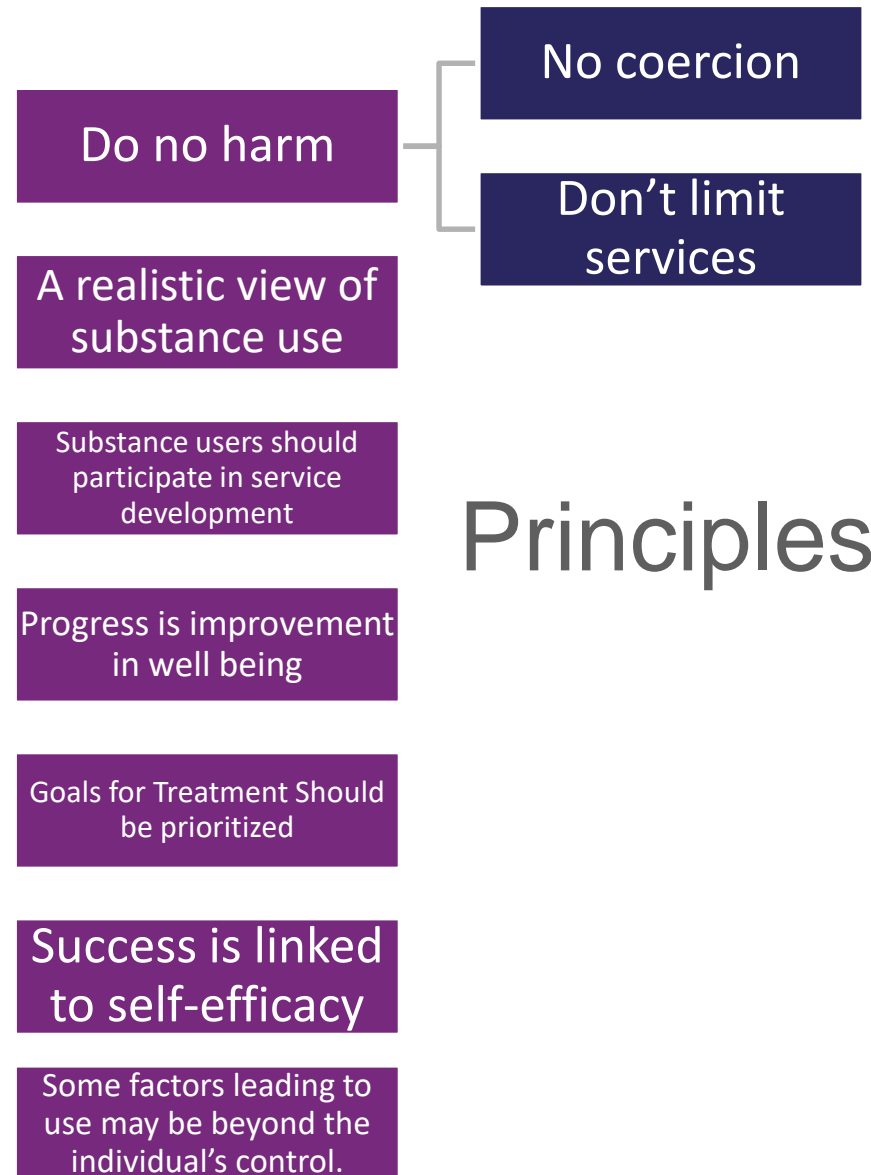
Policies, program and practices that aim to minimize the negative health and social impacts associated with drug use.

Safer substance use

Overdose prevention and reversal

Opioid agonist therapy

Harm Reduction



Key Evidence-Based Interventions for Behavior Management

Positive Behavioral Supports

Mindfulness/Emotional Regulation Training

Goal Management Training

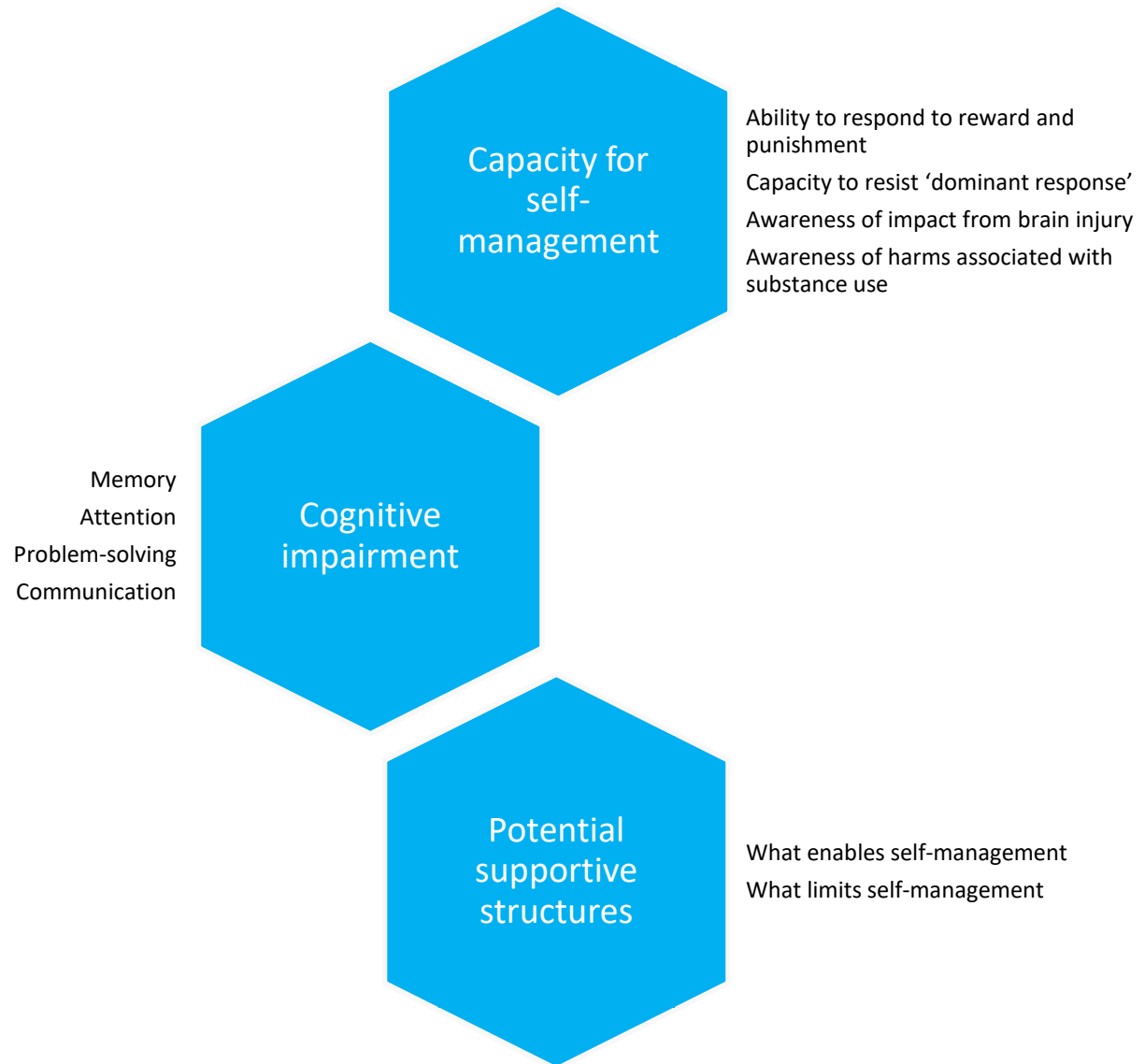
Meta-cognitive Training

Adapted Cognitive-Behavioral Therapy

Key Evidence-Based Interventions for Substance Use Disorders

- Motivational Interviewing
- Incentives to encourage participation in intervention
- Behavioral/cognitive behavioral strategies (coping skills)
- Development of behaviors incompatible with substance use (case management)
- Work with environmental Supports (CRAFT)
- Harm reduction
- Pharmacological Treatment

Central Clinical Considerations



Each phase of the intervention will try to help you to answer different questions.



Working Together

- What is SUBI and how can it help?
- What are my rights and responsibilities?



Envisioning the Future

- What do I want for my life?
- How does my substance fit-in with my vision for the future?
- How do I set realistic goals?



Preparing for Change

- Formulating goals for change
- Clarifying my reasons for change



Gathering Resources and Building Skills

- How do I fill my time?
- Who can support me?
- What do I do when I'm sad, lonely, frustrated or angry?



Taking Action

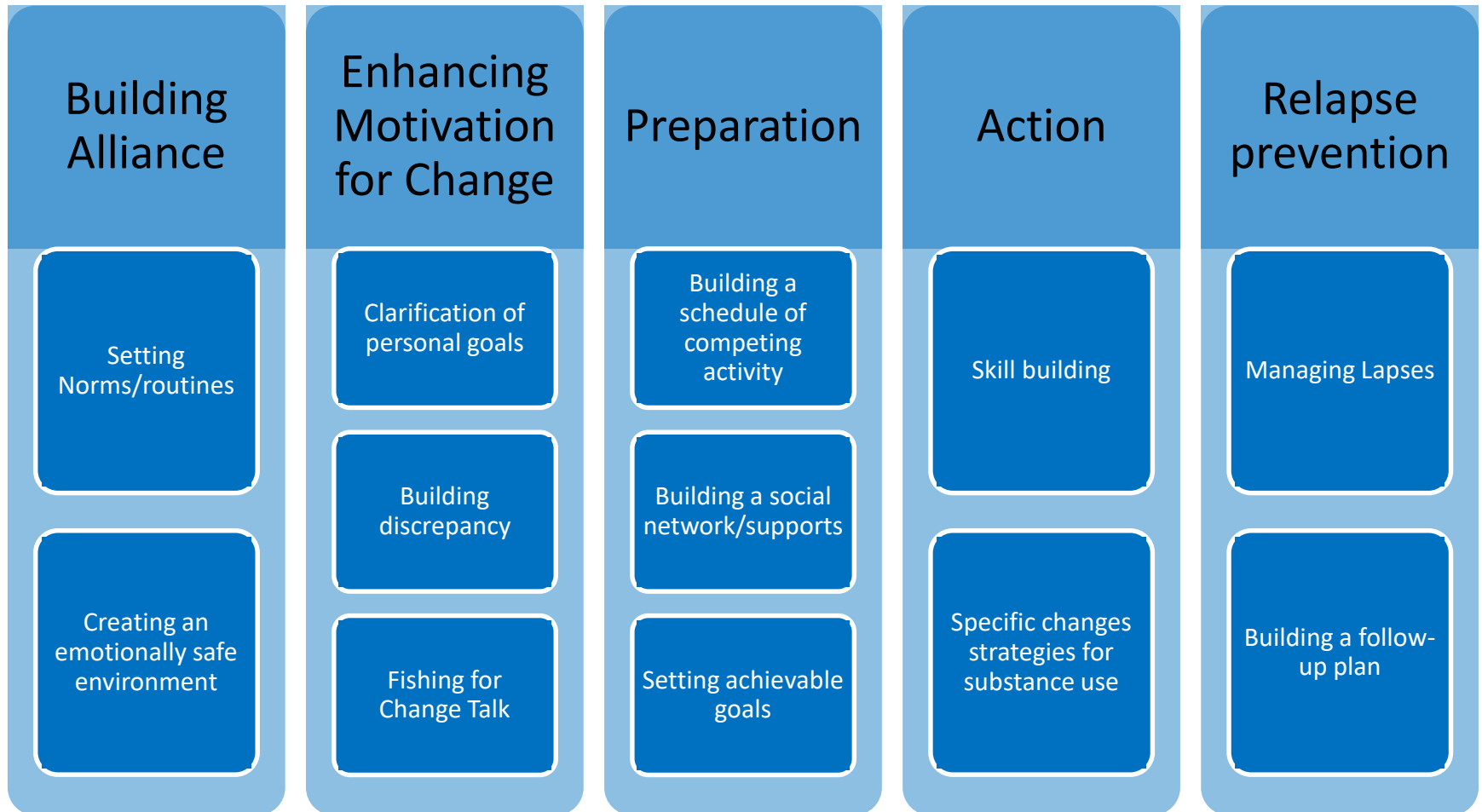
- How do I prevent problem situations?
- What do I do if a problem occurs?
- How do I get around the problems caused by my brain injury?



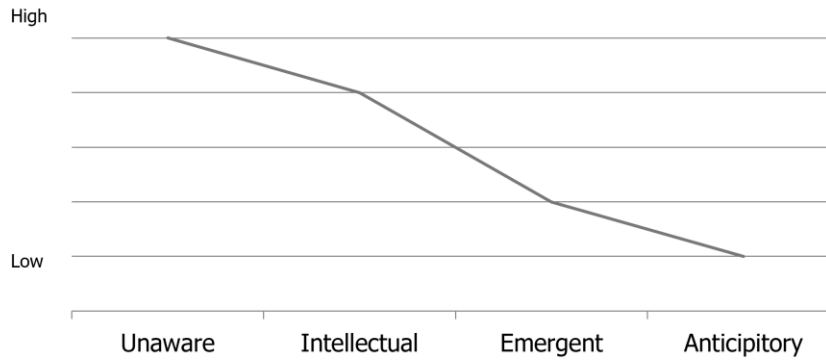
Maintaining Gains

- What will help me maintain the gains I have made?
- What is the plan for the long run?

Model of Intervention for the facilitator



Environmental Supports



Engagement

Persuasion

**Active
Treatment**

**Relapse
Prevention**

**Anticipatory
Awareness
ACTION**

**Emergent Awareness
Preparation**

**Intellectual Awareness
Contemplative**

Unaware/pre-contemplative

Crossen et al, 1993

Program Features

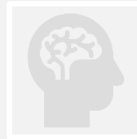
- Longer periods of intervention (extensive rather than intensive)
- Gradual fading-in of supports
- Focus on environmental supports when awareness/insight or commitment is low
- Smaller case loads
- Flexible, transdisciplinary interaction

CLIENT WORKBOOK

Substance Use and Brain Injury



Second Edition



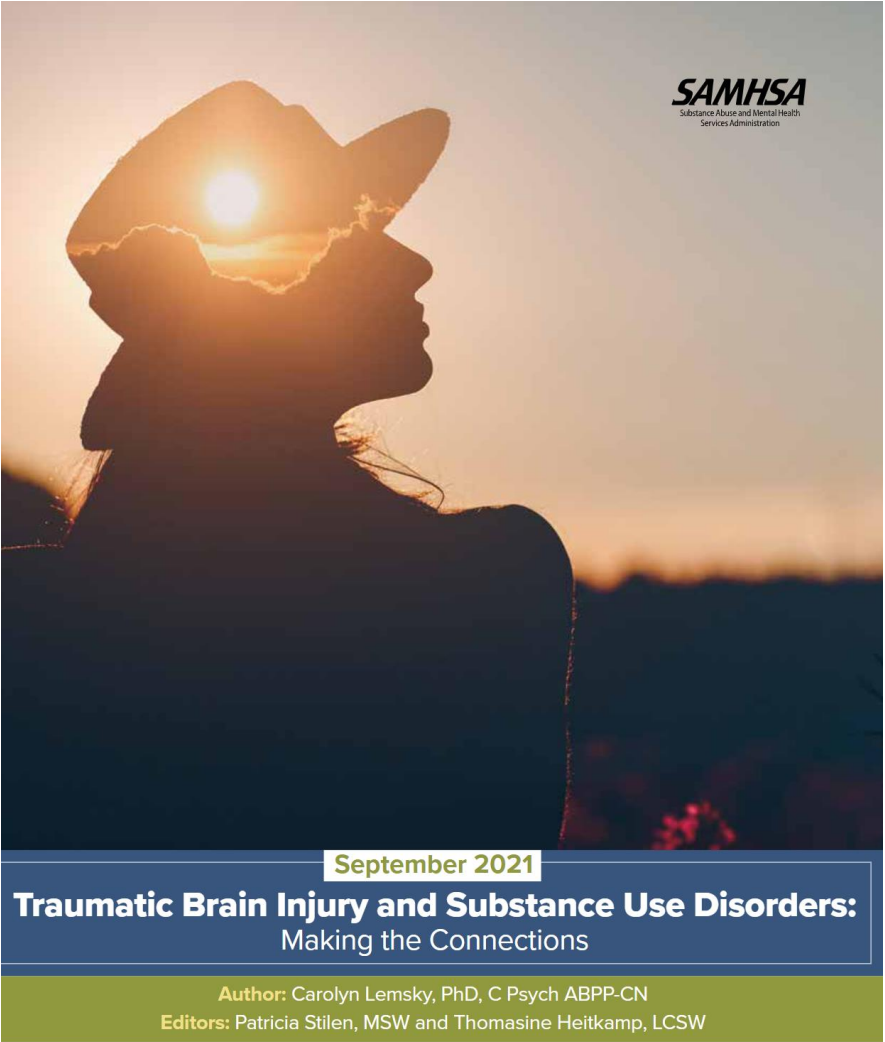
Designed to illustrate adaptations of common practice in intervention for substance use for ABI



A place to 'get started' for ABI-trained professionals



MI focus now structured as a treatment program



SAMHSA
Substance Abuse and Mental Health
Services Administration

September 2021

Traumatic Brain Injury and Substance Use Disorders:
Making the Connections

Author: Carolyn Lemsky, PhD, C Psych ABPP-CN
Editors: Patricia Stilen, MSW and Thomasine Heitkamp, LCSW

 **Mountain Plains ATTC** (HHS Region 8)
ATTC Addiction Technology Transfer Center Network
Funded by Substance Abuse and Mental Health Services Administration

 **Mid-America** (HHS Region 7)
ATTC Addiction Technology Transfer Center Network
Funded by Substance Abuse and Mental Health Services Administration

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Building Partnerships

1

Investigate local programs

- Concurrent disorders programs may have the most flexibility in admissions and programming
- Know referral criteria, program philosophy and admission processes
- Explore access to addictions medicine

2

Start with individual clients

- Refer when the time is right (clients are ready and willing to participate)
- Develop communication/collaboration strategies

3

Offer Training/Consultation

- Client-specific strategies
- General TBI/SUD curricula
- Share resources



Resources

SUD and TBI



**Information about Brain Injury and
Substance use**

[www.Ohio Valley.org](http://www.OhioValley.org)

www.Brainline.org



**Client workbook
download**

https://attcnetwork.org/sites/default/files/2022-02/Client%20Workbook_1.pdf

Multi-media

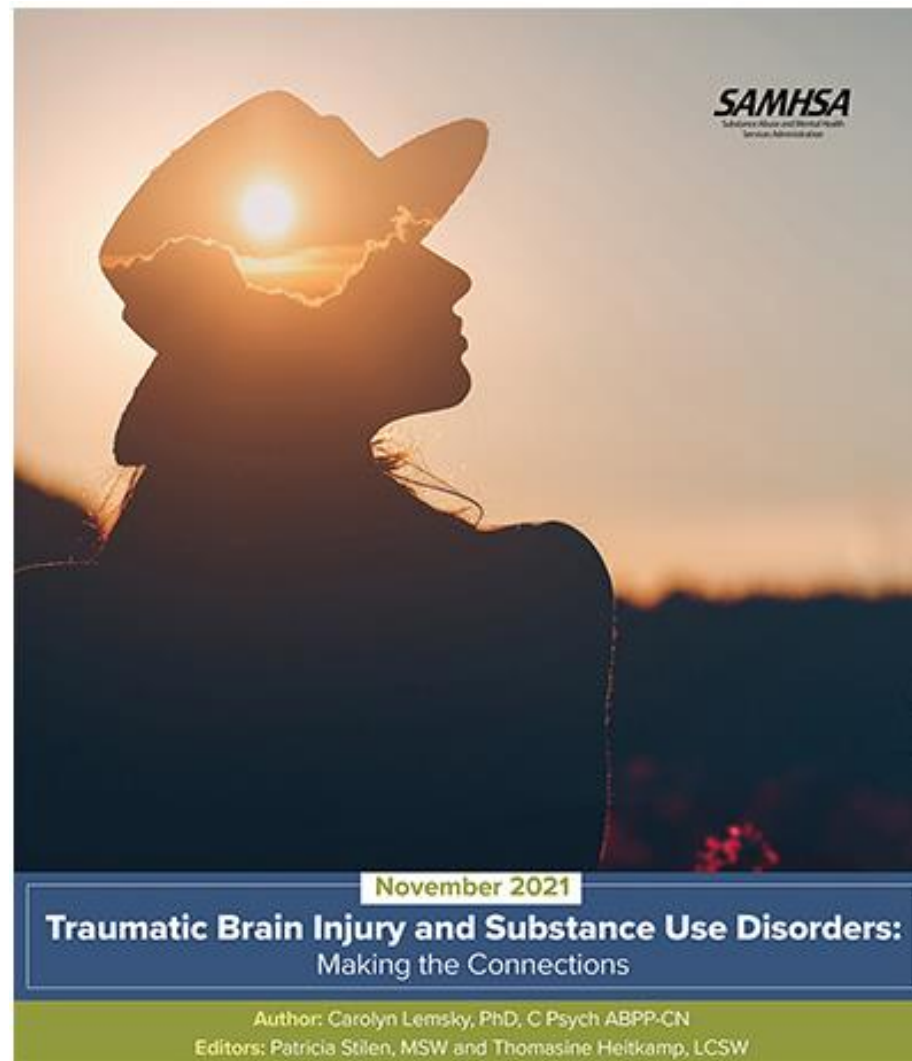


OLD VIDEO

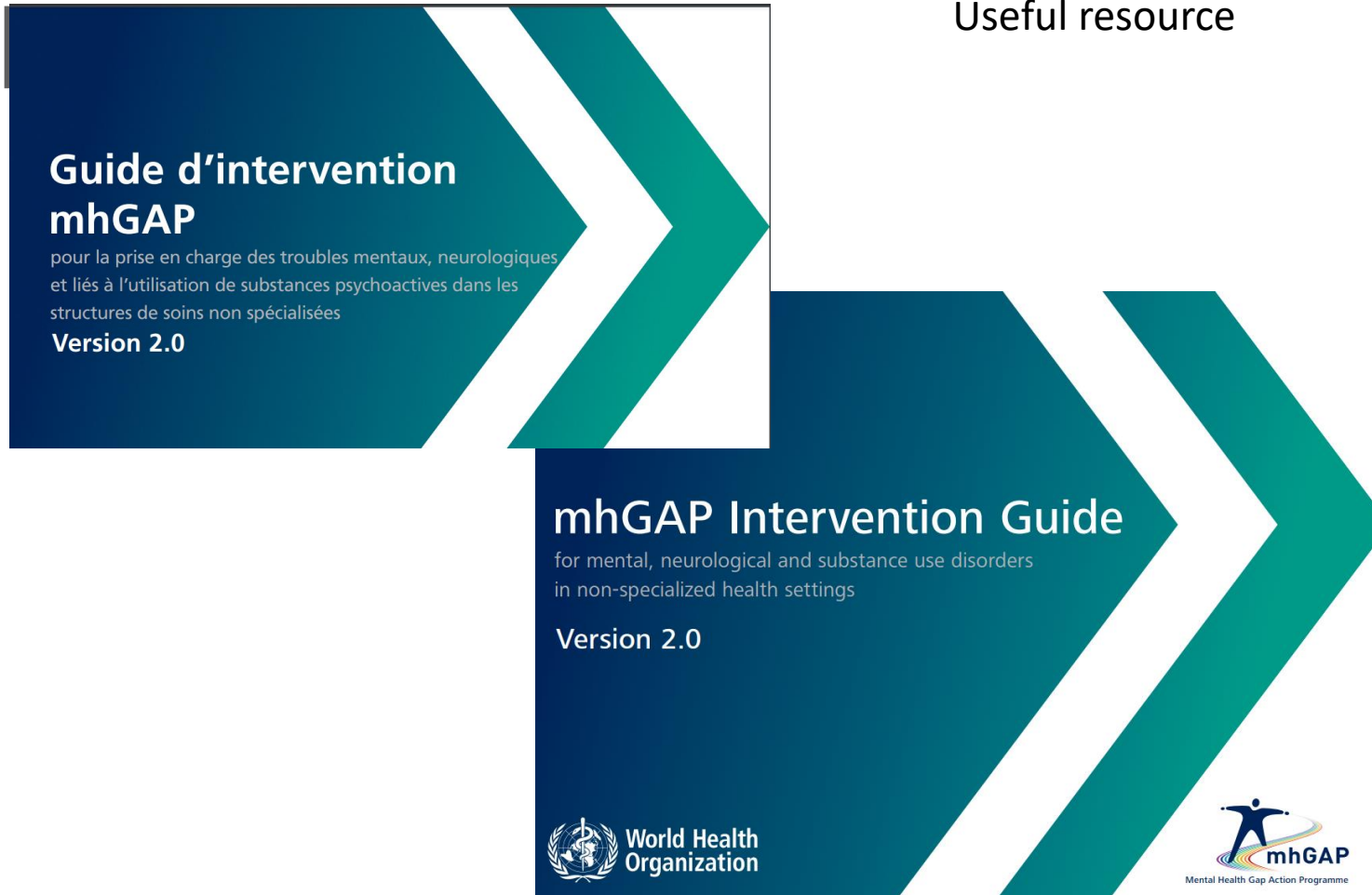
<https://www.youtube.com/watch?v=Rmu3fPhxaGs>

<https://www.youtube.com/watch?v=6RubUo3urpA&t=133s>

<https://attcnetwork.org/centers/mid-america-attc/traumatic-brain-injury-sud-series>



Useful resource



<https://www.who.int/publications/i/item/9789241549790> (English)

<https://apps.who.int/iris/bitstream/handle/10665/274363/9789242549799-fre.pdf?ua=1> (Français)

The Community Reinforcement Approach

An Update of the Evidence

Robert J. Meyers, Ph.D., Hendrik G. Roozen, Ph.D., and Jane Ellen Smith, Ph.D

<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3860533/>

Community Reinforcement Approach

<https://www.ccsa.ca/community-reinforcement-approach-essentials-series>

| Questions?

